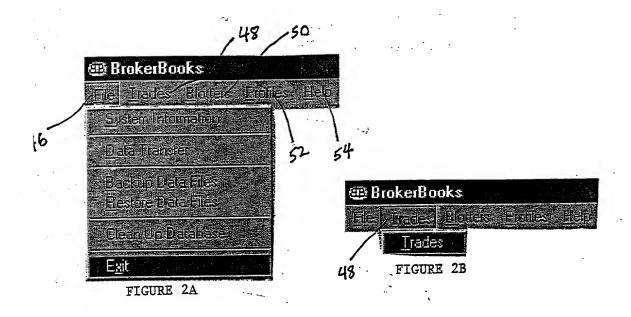
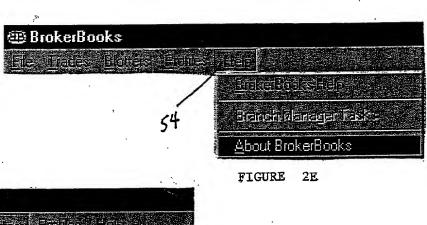


Fig_/





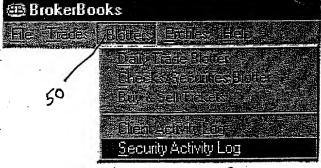


FIGURE 2C

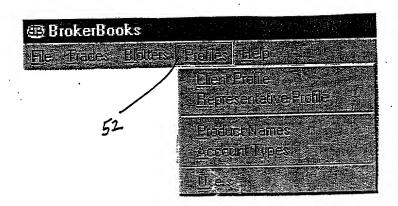
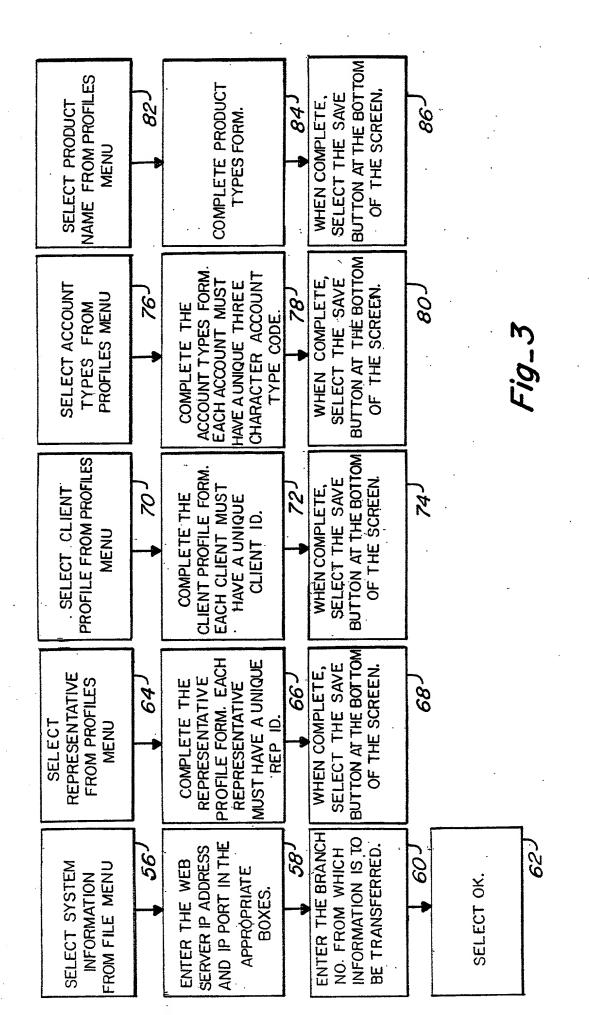
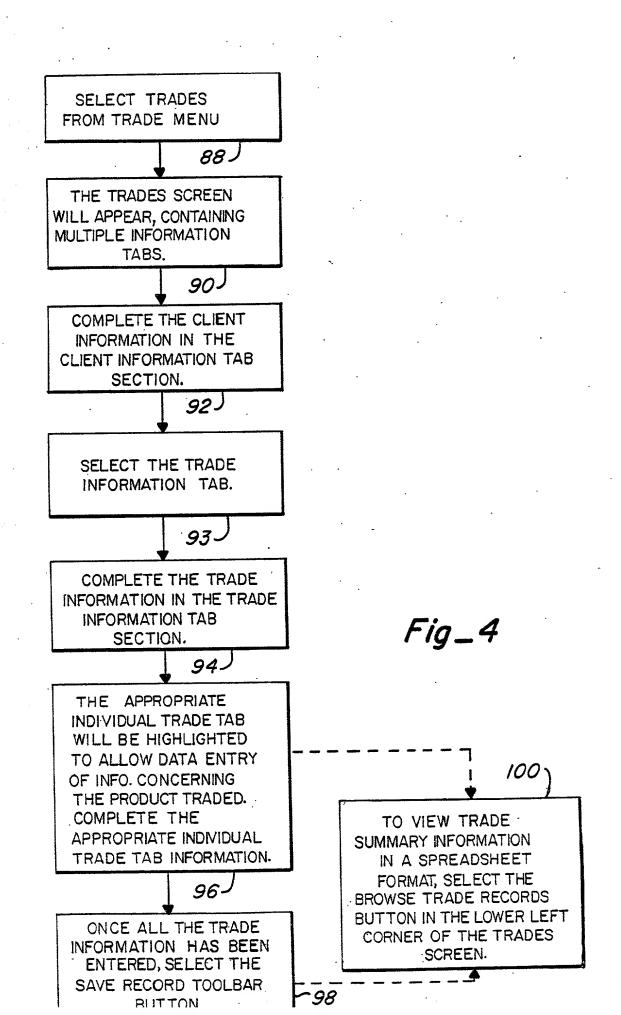
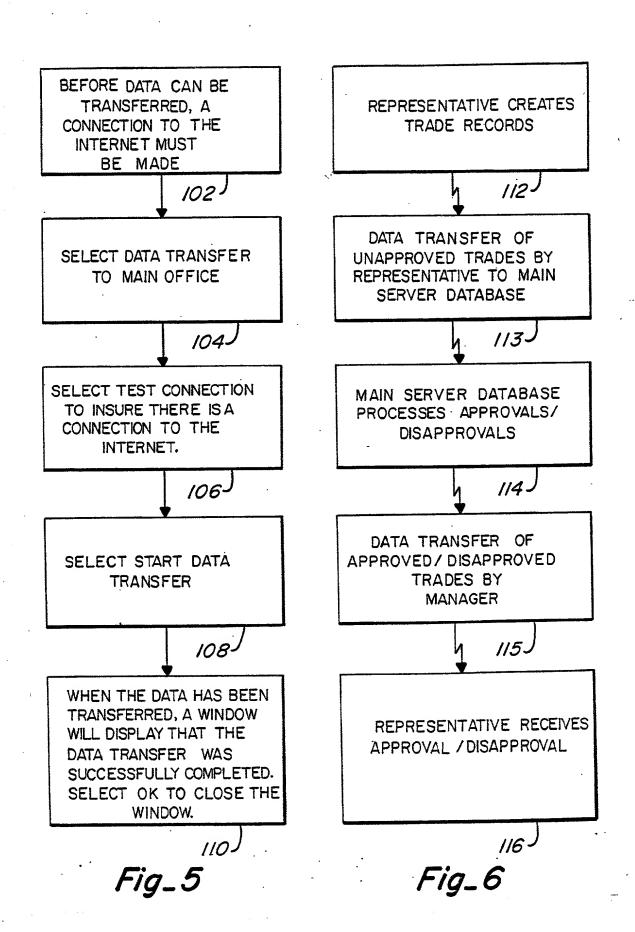
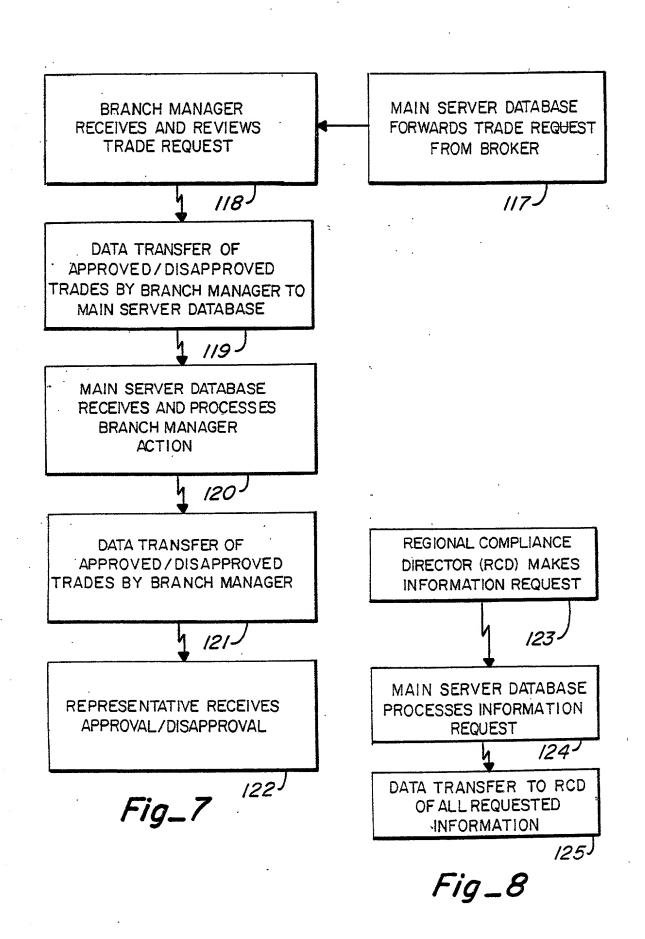


FIGURE 2D









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						A E	<u> </u>	Running States		
	any		Transcription of the state of t	9,1999,3:45:11 РМ), 12:00:00 AM	raı				
System Information	ACME Supply Company	110-105-6598	110-105-6598	Friday, July 09, 1999, 3:45:11 PM	lay, Juh		0.0.0.0	855		

FIGURE 9

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FIGURE 10

John		iaky:													
e. Taylet Jehn		Cinon-discretionary	10 Page 10 Pag	loe.	(L)		Amount applied								
igna Mariabiles	Ci Variabila	ch only		Executed Price	Settlement Date	· -	06/02/1999				mar a	in the second se			
Action of the contraction of the		Coscretionar		80.0000	12:00 AM	-Order Placed By Barry	Check Received 06/02/1999							Branch Approvate	
ondalate Wittinsifeunalintee	. Olophens	in the second se		80.0000			9876 Che						e Santa	i Dramoh	
Minte Minte	CMutual Funds	Unsallaited		Share M.A.Y. Rilbe	Tiffie Phoneo	841	Mar .	Acorn		eck all that apply)			apital T		
fock & Bonjá		Ġ	<u>s</u>		06/01/11999 12:00 AM		490.00 Cheek Na	3 Sent To	Cert, No.	e (Ch		<u>D</u>	safety Speculative/High Risk High Risk % of Capital		
Cilentifito Tradellate Stock&B	Trade Category 98 C Stocks & Bonds		Gold Models			נט	4	06/01/11999		nvestment Objectives for this trade (G	Aggressive Growth	I idalahos erewah watalincome II Indome	gh Risk High		
m Tra	ategory (3)	Trade Type 1,40° 1	Product Narie	Gity. Traded 1/42	Time Received	Order Placed With	Check Amt	Date Sent	Cusip _{ino, 17} 150	ent object	Logirasiya Grawii Tegirasiya Grawii	dalance Grown Income	 Speculative/⊞ 		

FIGURE 11

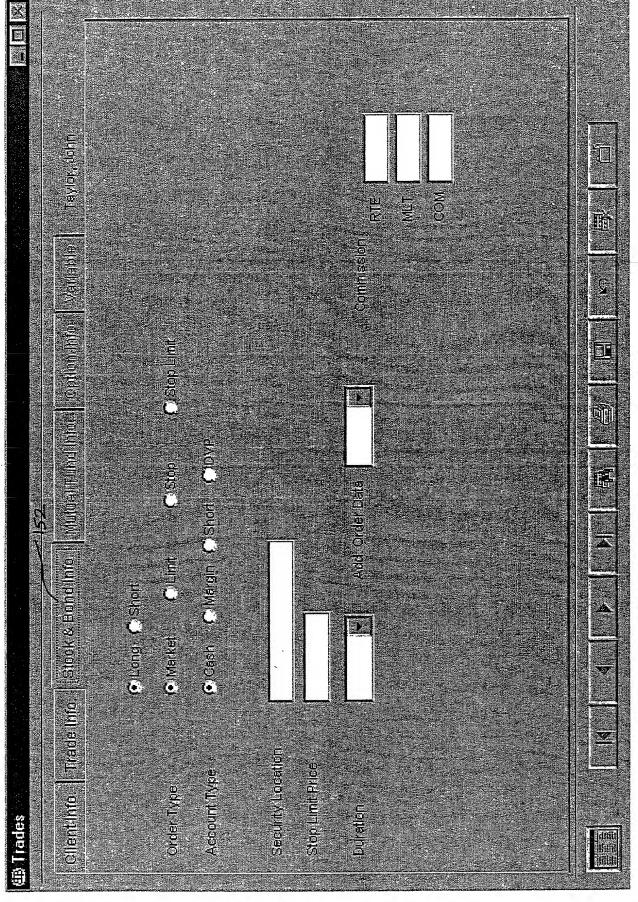


FIGURE 12

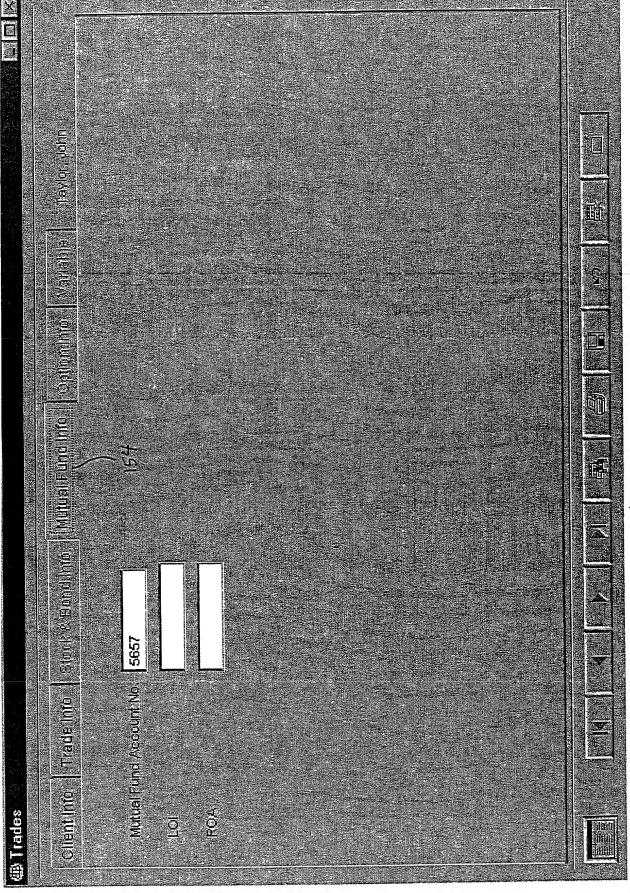


FIGURE 13

FIGURE 14

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FIGURE 15

FIGURE 16

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Œ	all	05/03/1999 09:31:30 AM Barber, Floyd	06/02/1999 12:35:51 PM Doe, John	17 08/04/1999 02:01:25 PM Moore, Ryan	05/03/1999 09:32:47 AM Pyle, Gomer	06/08/1999 08:58:27 AM Smith, Jane	06/08/1999 09:16:20 AM	5 07/09/1999 04:24:49 PM	05/03/1999 09:34:32 AM	05/03/1999 09:33:34 AM	5 05/04/1999 07:50:15 AM Taylor, John	10 06/08/1999 08:52:47 AM	13 06/09/1999 08:32:42 AM Taylor, John										
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FIGURE 17

Date	Cilent Name	Account Number	Buy	Oty Traded	Product	Price	Exec Price	\$ Amt.	Rep No.	Initials
12/28 40 Smith, Jane	Smith, Jane	L#	Buy	750.0000	750.0000 Gold Models	860.0000	0,0000	0.00	4000	
12/28/11/ Doe, John	Doe, John	9#	Buy	0.0000	0.0000 Caesar Marketing	0.0000	0.0000	0.00	2000	
12/28/19	12/28/99 Taytor, John	*	Sell	0.0000	0.0000 MGM Grand Hotel	00000	0.000	0.00	3000	
12 /28/99 Teylor, John	Teylor, John	2#	Buy	0.000	0.0000 Deluxe Travel Systems	0.0000	0.0000	6,000.00	4000	
1228/99	12/28/99 Teylor, John	#2	Buy	0.0000	0,0000 Caesar Marketing	00000	0.0000	0.00	4000	
12/28/99 Doe, John	Doe, John	\$ # 2	Buy	0.0000	0.0000 Deluxe Travel Systems	0.0000	0.0000	2,500.00	4000	
12/28/94	12/28/94 Taylor, John	7#	Buy	60.0000	50.0000 Deluxe Travel Systems	300.000	0.0000	0.00	2000	
12/29/99	12/23/94 Smith, Jane	#7	Buỳ	6.0000	5.0000 MGM Grand Hotel	12.0000	0.000	00.00	3000	
12/28/99	12/28/99 8millh, Jane	£#	Buy	0000'9	6.0000 MGM Grand Hotel	40.000	0.0000	4,500.00	4000	
12/28/19	12/28 Ag Taylor, John		Sell	40,000	40,0000 Gold Models	80.0000	0.000	490.00	4000	-
12/28/19	12. 128/19 Smith, Jane	47	Buy	0.0000	0.0000 Caesar Marketing	0.0000	0.0000	0.00	3000	

FIGURE 18

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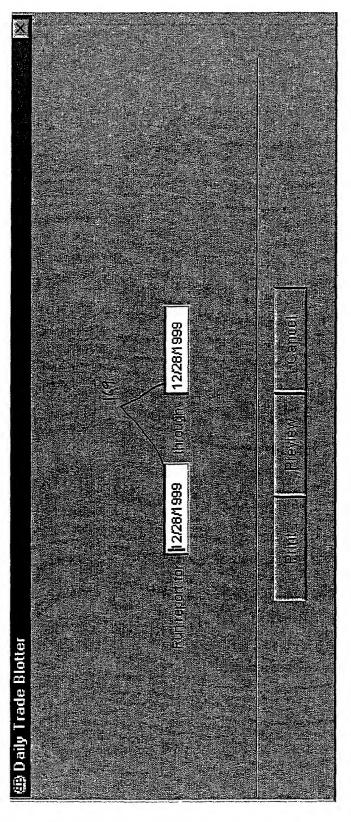


FIGURE 19

Ck Rec'vd Name	Name	Description	Check No.	/ CUSIP No.	Qty	Sent To	Date Sent	Rep No.	Initials
05/02/1999 Taylor, John	Taylor, John	Deluxe Travel Systems	2346	,	0.0000	0.0000 John Doe	05/03/1999	4000	
06/01/1999	Smith, Jane	MGM Grand Hotel	6657	/4775	6.0000	6.0000 Mary Scott	06/02/1999	4000	
06/01/1999 Doe, John	Doe, John	Deluxe Travel Systems	1999	,	0.000	0,0000 Brokers Ltd.	06/02/1999	4000	
08/02/1999	06/02/1999 Taylor, John	Gold Models	9878	1	40,0000 Acom	Acom	06/01/1999	4000	

FIGURE 20

>BUY & SELL TICKE!

	Short/Long Long	Day/Ope Market	n/Market	Activity date 05/03/1999 09:31 AM		Settleme	
	Symbol	Limit am	ount	Execute price		Security	location
750.0000	GMB		0.00		0.0000		
>escription	Gold Models	}					
)	Smith, Jane						Account # #7
pe .	Cash					-	
	4000		Brokeraco	t		Solicited	Unsolicited
	Received fr	rom client	04/29/19	99 09:00 AM	Time phone	d	04/30/1999 12:00 AM
id Wi	th Jane				by Man	<u> </u>	•
n	RTE 0.0	0		MLT 0.00	C	0.00 MC	
	1						

Options Calls Calls/Puts/Spreads/Straddles Open Open/Close/Covered/Uncovered **Mutual Funds** 0 ROA LOI 0 Acct# 5634

		Company Name
S		Joint Account Name
berry Lane		Pyle, Glenda
ove, IL 47788-		
hone	Business Phone	
775		

	Account Type	Date	Bou	ght	So	id .	
			Qty	Price	Qty	Price	
rketing	Trust	05/03/1999		3			
vel Systems	Trust	06/02/1999					

FIGURE 22

ty Name Gold Models

Name	Qty Traded	Activity Date	Price
ohn	40.0000	06/09/1999 08:32 AM	80.0000
ane	750.0000	05/03/1999 09:31 AM	860.0000

First Name John Widdle Name Mulberry Lane First Name John Widdle Name Mulberry Lane First Name First N				sate or Blidh 11/22/1970			47788-		6115% (28% (163%	84-6657787	Voint SSN 577-99-8877		上	Joint Tenants in Common	Trust	UGMA - Gift		
TELST Name: First Name:		49		7 466-36-2286 A				and the second of the second o	125,000 T		Glenda	He Right Cliek on Brist Var Wei	BYATROOMS.	#4	#2 #2	#8		
05/03 Doe Welnu Welnu Wes/if	72		First Name		85776 Mulberry Lane		Walnut Grove	614-988-3775	000		Firstiname	vest Check allthattabilV). = 1.2.4.4.4.4.4.4.4.4.4.4.4.4.4.4.4.4.4.4.		III. WITh Income Section 1885		10 % 18 T		

FIGURE 24

	Vilkegištaredilnvestnemi Advisov	Middle Nane a			В 1123-		Home/Phone 303-775-8842		ornice:E-mall	Brancin No.							
A CARACTER SECURIO SE SECUENTA SE	4000		91 Dogwood Drive		Leadville State		303-956-2219			9/8/		10tmstions for the second of t	VSeries 63	The series of th	In insurance the second		
@ Representative Profile	166 FEBRUARY (465)	Last Namer— (48	$_{ m address1}^{ m s} \sim \pi^{9} G$	Addition 2		Country. The gal	r Work Phone	· Email Address	r Office Name	Branch Office	1 0Stloffice	Center additional license information	∏Series B	Series 7		Eerles:26	

FIGURE 25

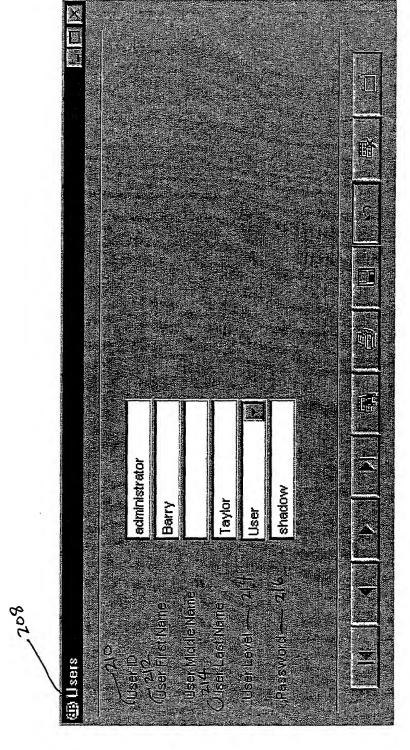


FIGURE 26



BrokerBooks Trade Audit Report

System ID.	Record	ID. User ID.	Password	Change Date/Time
1000	1	JohnB	Books	06/16/1999 12:01:49
<u>Chang</u>	es:			
	Old:	Product Name: Best F	unds	
	New:	Product Name: Entrep	orise Funds	

System ID.	Record ID.	User ID.	Password	Change Date/Time
1000	1	JohnB	Books	06/17/1999 11:41:35

Changes:

Share/NAV Price: \$ 100.00 Old: Share/NAV Price: \$ 110.00

System ID.	Record ID.	User ID.	Password	Change Date/Time
2430	13843	JackCL	PASS	06/16/1999 12:01:49

Changes:

Qty. Traded: 150 Qty. Traded: 250 Old: New:

System ID.	Record ID.	User ID.	Password	Change Date/Time
45330	84453	10256	ABCFGH	06/16/1999 2:10:33

Changes:

Stop Limit Price: 130 Stop Limit Price: 165 Old: New:

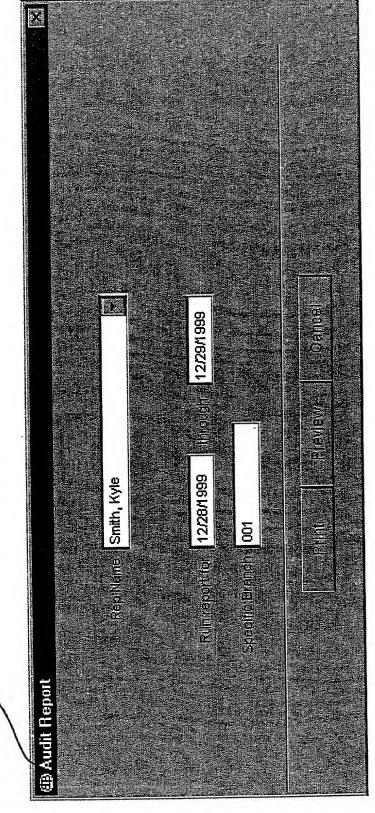


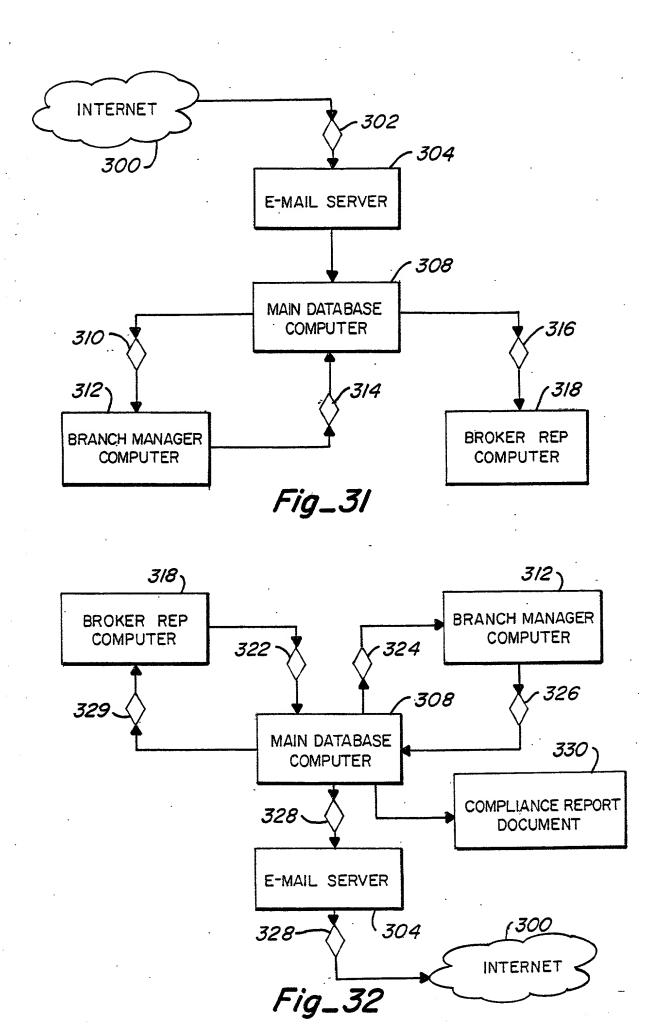
FIGURE 28

Z12 -> LOGIN REPORT

LOGINTIME	SYSTEM ID	USER ID	PASSWORD	SUCCESS
05/03/1999 09:28:00	1000	administrator	password	Yes
05/03/1999 09:43:36	1003	administrator	-	Yes
05/03/1999 09:44:54	1002	administrator		No
05/03/1999 09:46:46	1002	administrator	•	Yes
05/03/1999 09:46:53	1002	administrator		Yes
05/03/1999 09:48:34	1001	administrator	_	No
05/03/1999 09:49:44	1001	administrator	_	Yes
05/03/1999 09:50:15	1003	administrator	•	No
05/03/1999 09:50:52	1000	administrator		Yes
05/03/1999 09:51:48	1002	administrator	-	Yes
05/03/1999 10:00:32	1000	administrator	•	Yes
05/03/1999 10:36:37	1000	administrator		Yes
05/03/1999 10:37:03	1000	administrator		Yes
05/03/1999 10:39:00	1000	administrator	•	No
05/03/1999 10:39:16	1000	administrator	•	Yes
05/03/1999 10:55:07	1000	administrator	houston	Yes
05/03/1999 10:55:20	1000	administrator	password	Yes
05/03/1999 10:55:47	1000	administrator		Yes
05/03/1999 10:56:06	1000	administrator	password	Yes
05/03/1999 10:56:40	1000	administrator	password	No
05/03/1999 10:56:55	1000	administrator	password	Yes
05/03/1999 11:07:44	1001	administrator	holly	Yes
05/03/1999 11:08:05	1001	administrator	password	Yes
05/03/1999 11:12:47	1001	administrator	notebook	No
05/03/1999 11:14:43	1002	administrator		Yes
05/03/1999 11:15:15	1002	administrator	_	No
05/03/1999 11:15:54	1000	administrator		Yes
05/03/1999 11:19:05	1001	administrator		No
05/03/1999 11:19:23	1001	administrator		Yes
05/03/1999 11:20:14	1001	administrator	-	Yes
06/15/1999 09:25:22	1841	administrator	-	No
06/15/1999 09:26:38	1841	administrator	·	Yes
06/15/1999 09:29:30	1841	administrator	-	No
06/15/1999 09:55:04	1841	administrator	7	Yes
06/15/1999 10:07:59	1841	administrator	password	No
06/15/1999 10:45:09	1000	manager1	password	No
06/15/1999 11:05:44	1000	manager1	password	Yes

(趣 Logins Report	Rep Name Smith, Kyle	
Funitepotifich: Specific Eranch	Hittor 12/28/1999 Unioughi anch 100	igh [12/29/1999]
	Parint A Careview	W. Canoella

FIGURE 30



New Message		
A SENTILLEM SET OF THE PROPERTY OF THE PROPERT	12/05/99 03:08:24 PM 12/06/99 05:16:17 PM 12/08/99 10:08:34 AM	Eudibour 15 avair out an ase True the attachments Kyle Smith
334 336 Utbook // In Branch Outbook In	Forward Fig. 18 Fig.	you have questions regarding
330 332 (B) B) E-Mail (H) b) x /	Repeive Reply(0 srutho) 2 Kyle Smith Kyle Smith John Doe	Reviewed by Raul Ros Dave, Please contact me if

FIGURE 33

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FIGURE 34

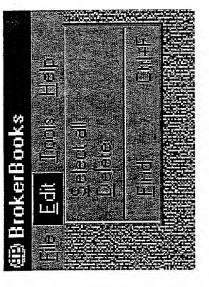


FIGURE 35

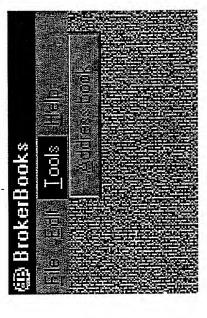


FIGURE 36

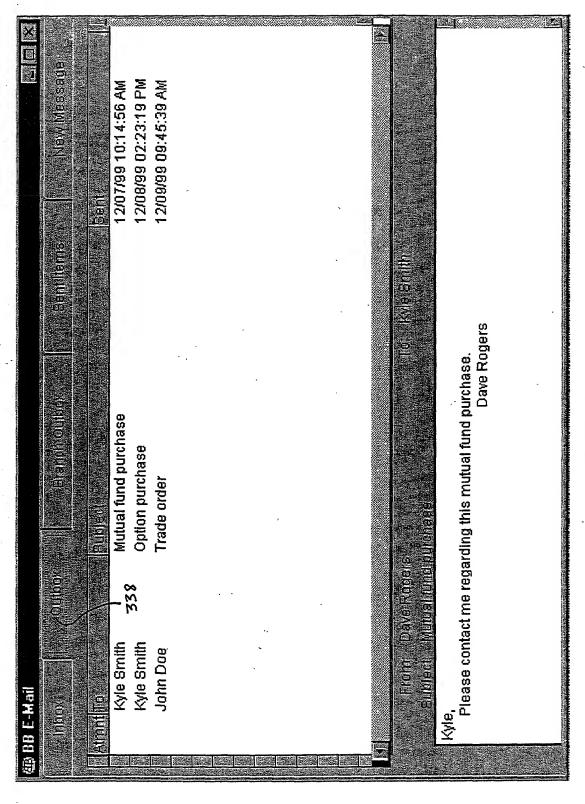


FIGURE 37

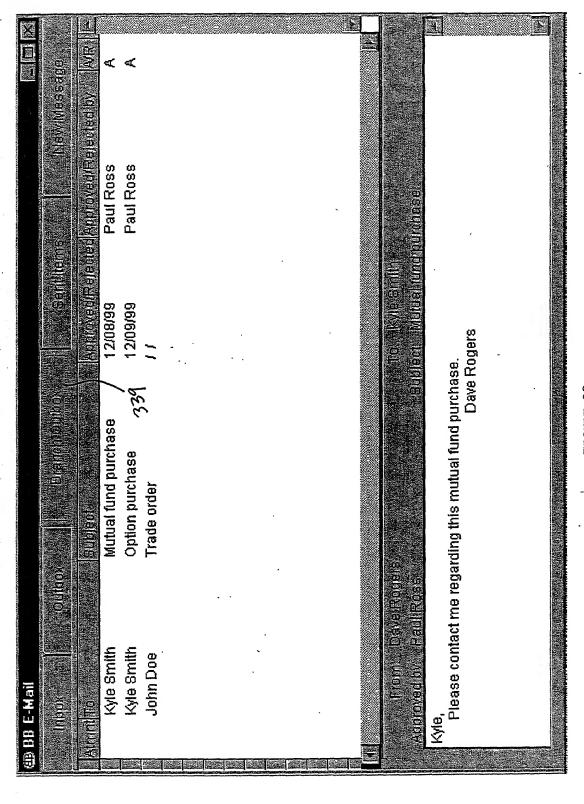


FIGURE 38

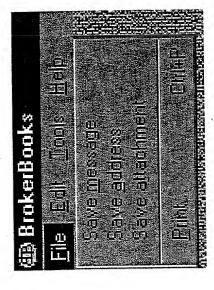


FIGURE 39

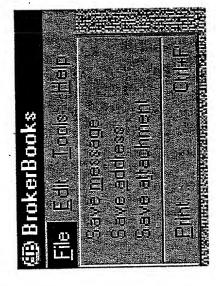


FIGURE 42

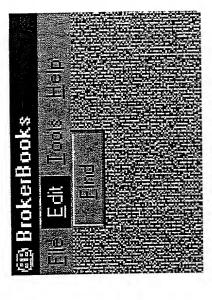


FIGURE 40

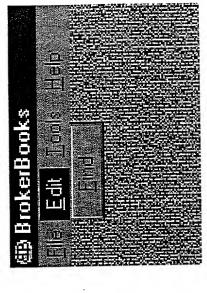


FIGURE 43

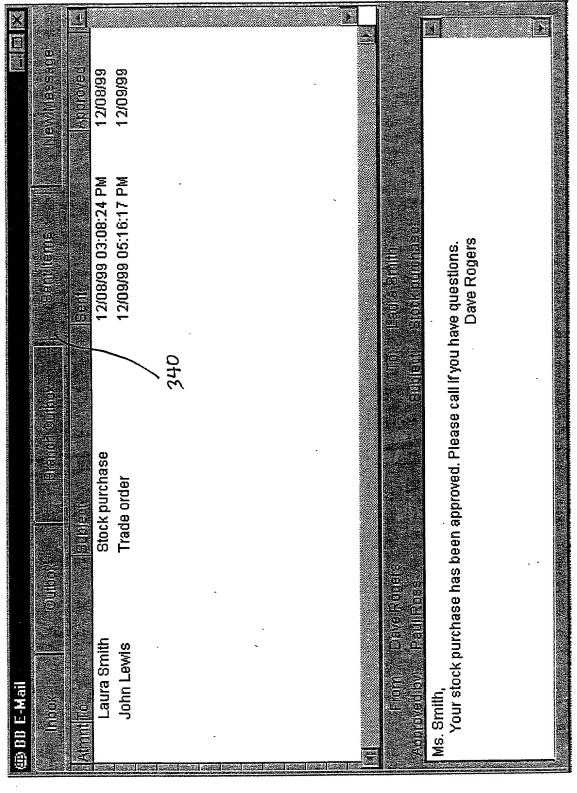


FIGURE 41

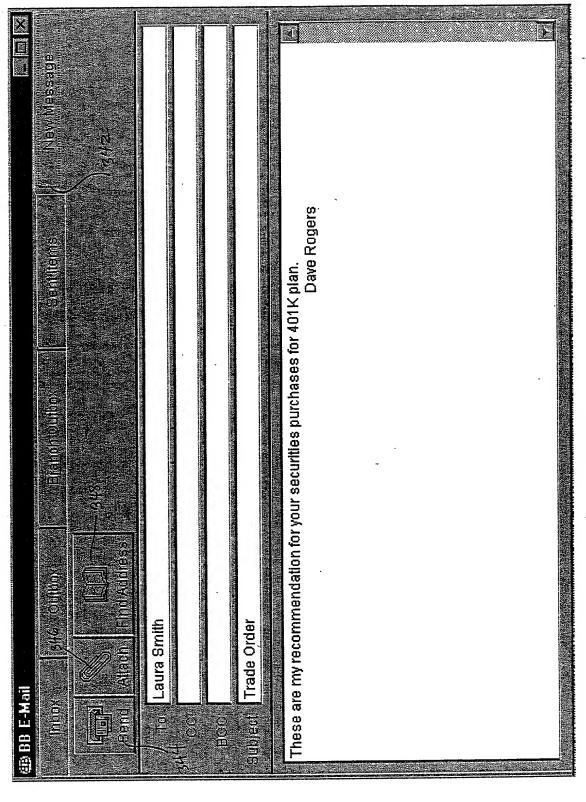


FIGURE 44

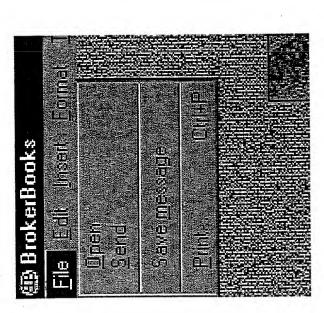
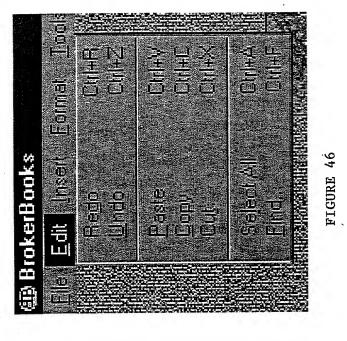
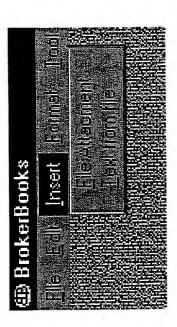


FIGURE 45





EEGURE 47

FIGURE 48

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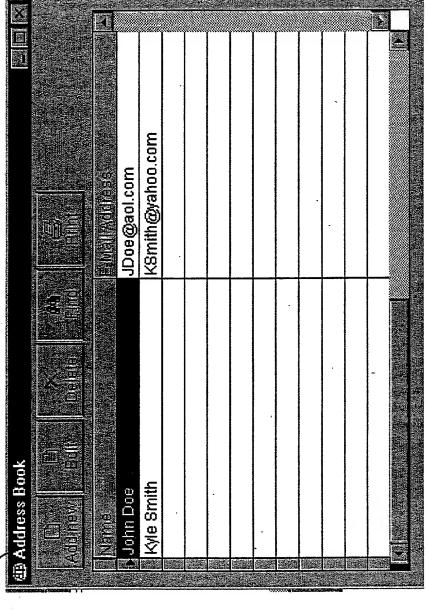


FIGURE 49

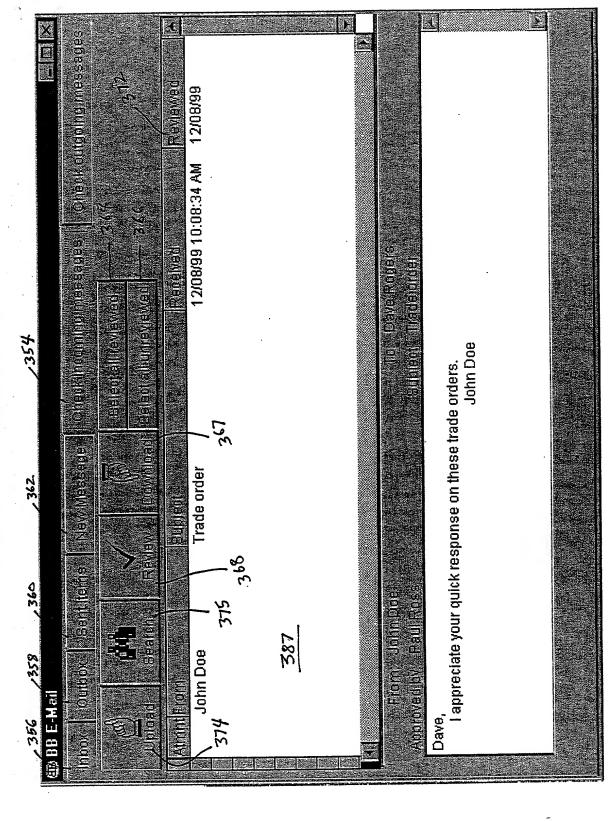


FIGURE 50

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FIGURE 52

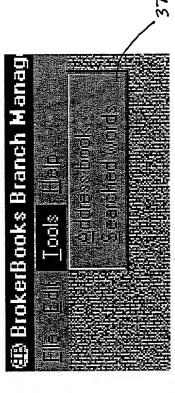


FIGURE 53

-378

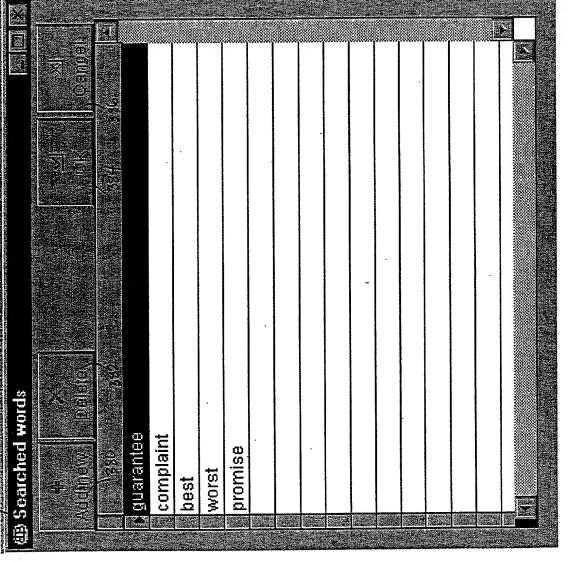


FIGURE 54

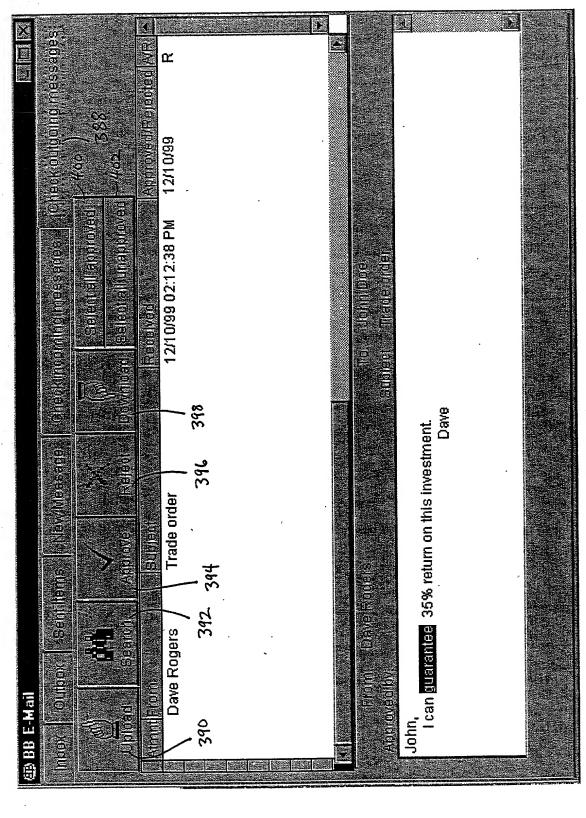


FIGURE 55

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FIGURE 56

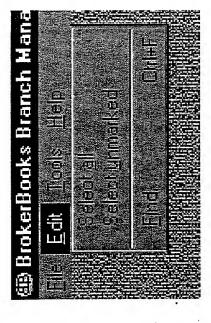


FIGURE 57

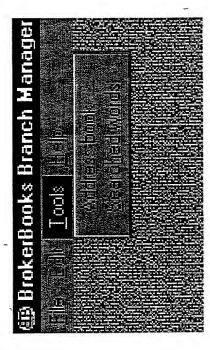


FIGURE 58

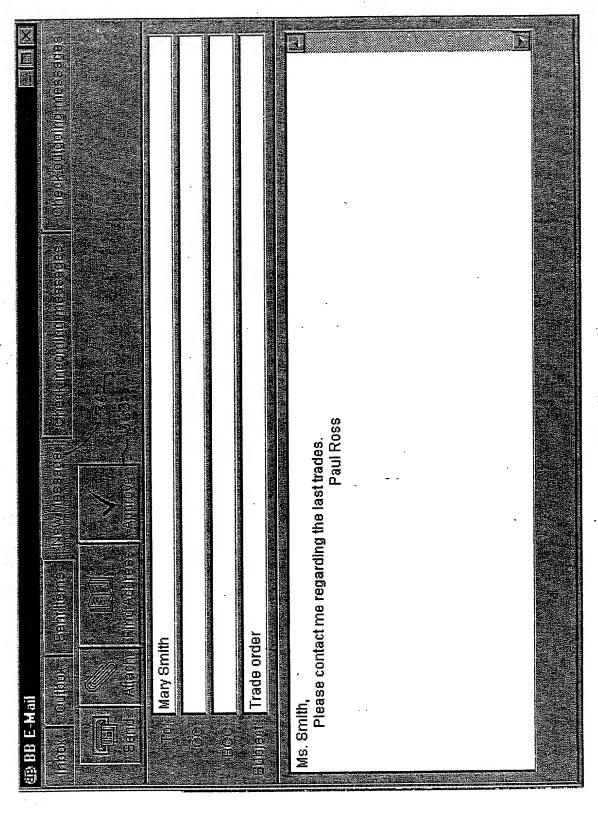
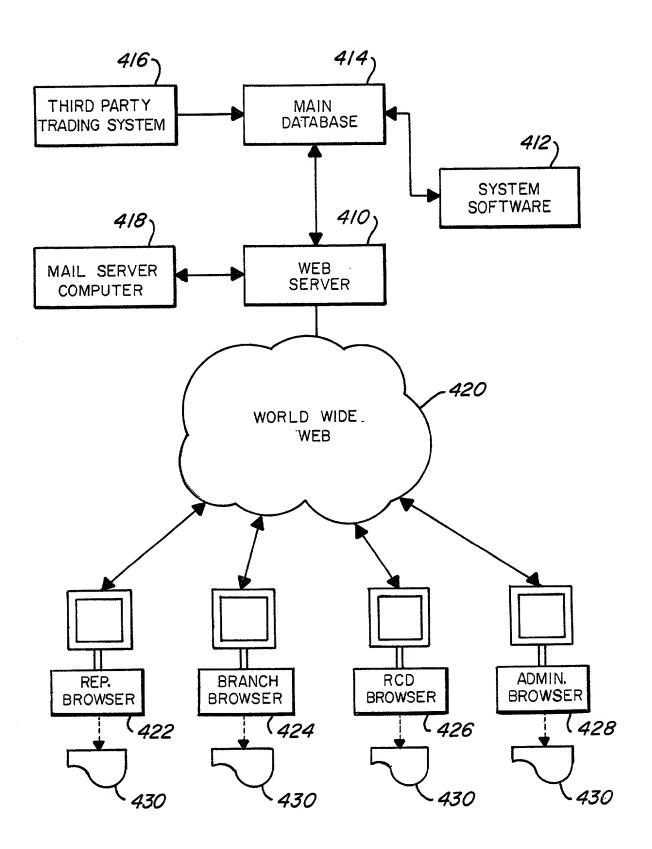
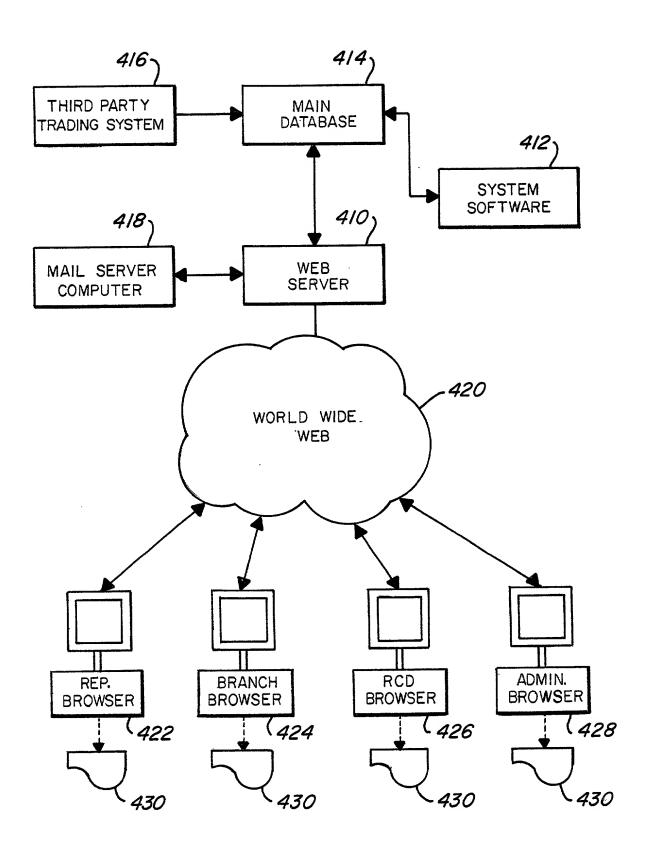


FIGURE 59

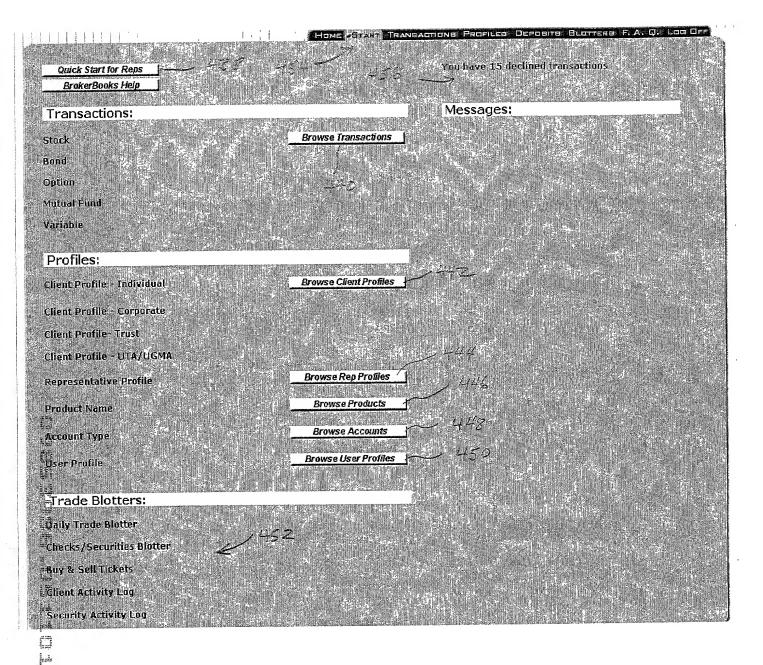


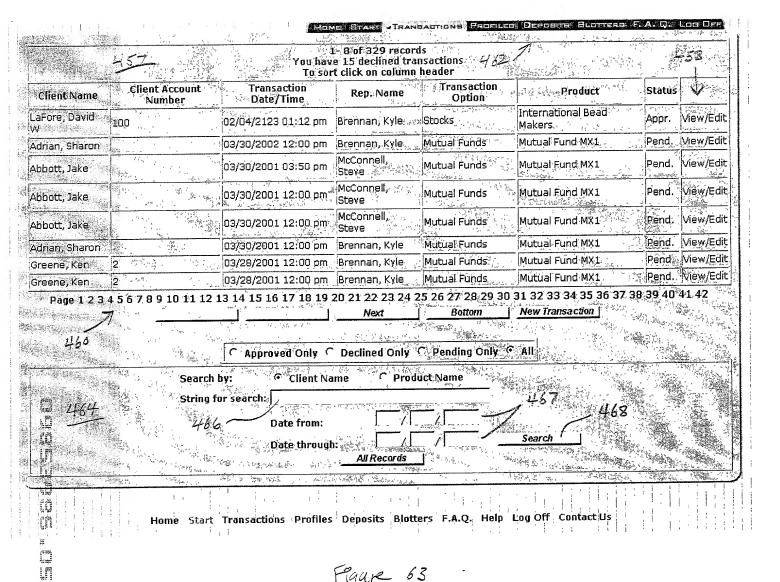
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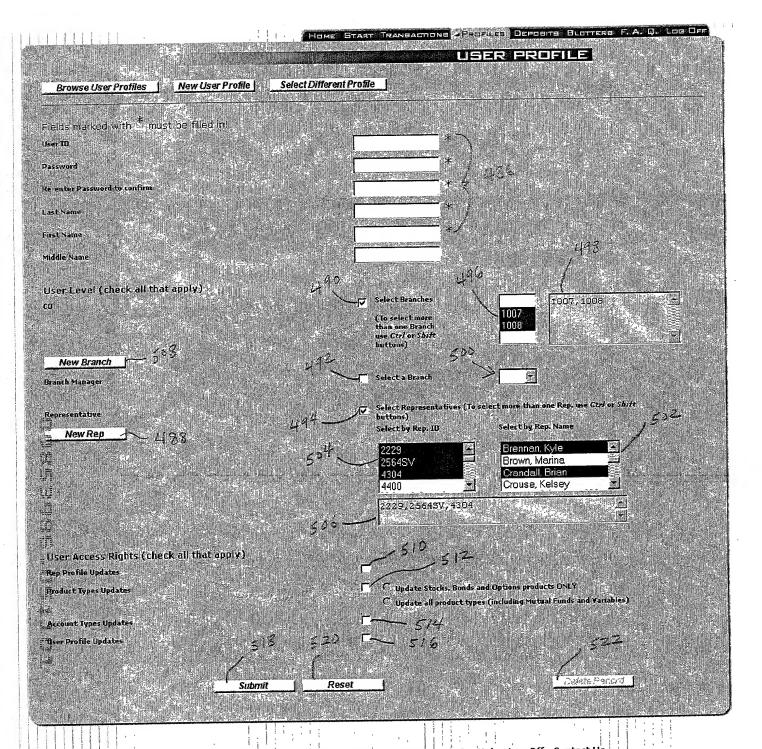
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